

Operational and Service Fees - First Clearing*

Fee Type	Fee Amount
Account Transfers (ACAT)	
Incoming	Free ¹
Outgoing	\$95
Annual Inactivity Fee	
Standard Brokerage Account	\$65
UTMA/UGMA Account	\$45
Asset Management Account	
Advantage Basic	Free
Advantage	\$125
Personal Checks	
Initial Order (standard wallet - 40 checks)	Free
Initial Order (duplicate - 150 checks)	\$20
Re-order (standard wallet - 150 checks)	\$9
Re-order (duplicate - 150 checks)	\$20
Corporate Checks	
Initial Order (3 on a page - 300 checks)	\$58
Re-order (3 on a page - 252 checks)	\$43.50
Debit Card	
Additional Card	\$10
Fastcard (overnight delivery charged per card)	\$20
Check Copies (3 free each month)	\$15/check after first 3
Check Research	\$5 each
ATM Transaction	
No internal charge, individual bank may assess ATM usage fees	\$20
Insufficient Funds (NSF)	\$20
Stop Payment Order	
Check or ACH transaction	\$25
Returned Checks	\$10
Miscellaneous Fees	
Safekeeping Fee	\$150 annual fee/ \$500 initial fee \$60/security each year \$600 maximum per year
Outside Investment Custodial Fee	\$250
Restricted Securities	Consult your Financial Advisor
Confirmation	\$15
Wire Transfer - Domestic	\$25
Wire Transfer - International	\$10/item
Deposited/Cashed Item Chargeback (all products)	\$15
Overnight Express Mail	Margin Interest Rate (\$15 min)
Prepayment	
Transfer Fees	
Lost Certificates	\$100
Foreign Securities (order out)	\$250/security
Physical Certificate Issuance - shares reregistered and mailed to client	\$500
Rush Withdrawal Registration (3-5 business days)	\$500
Rejection on ineligible physical certificates presented for deposit	\$50
DWAC Withdrawals	\$150
DRS Rejects	\$75

Mutual Fund Fees	
Liquidation	\$15
No Load accommodation fee	\$25
Research	
Research or document retrieval	\$15/hour (1 hour min)

Retirement Accounts

Fee Type	Fee Amount	
Annual Custodial Maintenance Fees (per account)		
Traditional IRAs, Roth IRAs, SEP IRAs, and Education Savings Account	\$40	\$55.00 ⁴
Account Termination Fee¹⁵		
All Retirement Accounts (per account)	\$95	

1. The delivering firm may charge a transfer out fee

All fees are subject to change. Please note that annual fees are not prorated when an account is closed prior to its anniversary date.

*Account(s) carried by First Clearing. First Clearing is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.

Commission Schedule - First Clearing* Equity Trades

		First Clearing*
Type of Security	Type of Transaction	Maximum Commission
Equity Trades		
	\$0.00 - \$4,999.99	4% ¹
	\$5,000.00 - \$24,999.99	3.50%
	\$25,000.00 - \$99,999.99	3.25%
	\$100,000.00 - \$249,999.99	2.75%
	\$250,000.00 - \$399,999.99	2.25%
	\$400,000.00 - \$549,999.99	2.00%
	\$550,000.00 +	1.50%
¹	There is a minimum commission of \$50 on all equity trades	
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