

SNAPSHOT

At Summit, servicing you—our clients—is the most important thing we do. As a boutique broker-dealer managed by former financial advisors, we understand your unique and specific business needs. It is this same commitment to service that enables us to focus on a personalized experience so that you can focus on your practice.



Summit's commitment to serving our advisors has earned us the following awards:

#1 Independent Broker-Dealer
WealthManagement.com (2016)

#1 Transition Support Tool: eLaunch
WealthManagement.com (2016)

#1 High-End Reps Category
Financial Planning (2015)

#1 Independent Broker-Dealer
REP. Magazine (2014)

Our History



Founded
Summit
Brokerage
Services, Inc.



Boutique
Ranked as
the #1
independent
boutique
broker-dealer
for the second
year in a row



Our Face
Don Shula,
the NFL's
winningest
coach, named
Summit's
spokesperson



On Top
Ranked as
the #1
independent
broker-dealer
by REP
Magazine



Private
Became a private
organization
focused on de-
livering tools for
advisor growth
and success

By the Numbers*

550

Advisors

\$150M

Total Revenues

\$17B

AUM

\$750,000

Average Production of Top 20%

Clearing Firms

First Clearing LLC and Pershing LLC

Headquarters

Boca Raton, FL

250 years

of collective senior
management experience