

# SNAPSHOT

At Summit, servicing you—our clients—is the most important thing we do. As a boutique broker-dealer managed by former financial advisors, we understand your unique and specific business needs. It is this same commitment to service that enables us to focus on a personalized experience so that you can focus on your practice.



**Summit's commitment to serving our advisors has earned us the following awards:**

**#1 Independent Broker-Dealer**  
WealthManagement.com (2018)

**#1 Transition Support Tool: eLaunch**  
WealthManagement.com (2016)

**#1 Independent Broker-Dealer**  
WealthManagement.com (2016)

**#1 High-End Reps Category**  
Financial Planning (2015)

## Our History



**Founded**  
Summit Brokerage Services, Inc.



**Boutique**  
Ranked as the #1 independent boutique broker-dealer for the second year in a row



**On Top**  
Ranked as the #1 independent broker-dealer by REP. Magazine



**Two Times**  
Ranked as the #1 independent broker-dealer\*



**Three Times**  
Voted #1 independent broker-dealer\* for third time in a row

\*WealthManagement.com

## By the Numbers\*\*

**500**

Advisors

**\$161M**

Total Revenues

**\$17.5B**

AUM

**\$750,000**

Average Production of Top 20%

## Clearing Firms

First Clearing LLC and Pershing LLC

## Headquarters

Boca Raton, FL

**30+ years**

Average experience of senior management at an independent broker-dealer