



COMPANY	TOTAL REVENUE		COMMISSION REVENUE		FEE REVENUE		OTHER REVENUE	
	\$000s	% CHANGE	\$000s	% CHANGE	\$000s	% CHANGE	\$000s	% CHANGE
Investment Centers of America	65,395	17.6	55,208	14.5	5,536	50.4	4,651	25.3
Associated Securities Corp.	64,532	(6.0)	43,949	2.4	16,793	(19.5)	3,790	(21.9)
Triad Advisors	59,404	51.9	35,333	42.6	21,666	67.8	2,405	69.5
Transamerica Financial Advisors	58,353	8.9	47,434	7.7	8,747	5.4	2,172	71.3
Pacific West Financial Group	56,853	20.5	45,116	17.7	8,754	37.2	2,983	19.8
Berthel Fisher & Company Financial Services	56,235	8.0	45,081	6.9	5,071	2.3	6,083	23.4
O.N. Equity Sales Co.	55,654	20.8	53,598	21.0	1,825	14.9	231	10.0
WFG Investments	51,702		31,702		15,000		5,000	
Sammons Securities Co.	49,985	30.7	47,435	24.1			2,550	
Prime Capital Services	44,949	9.9	34,846	11.2	10,103	5.5		
LaSalle St. Securities	43,400	1.8	38,000	7.0	5,400	(24.5)		
Great American Advisors	42,862	13.5	40,525	11.8	1,657	55.9	680	49.8
Brecek & Young Advisors	42,369	66.2	29,083	14.1	13,286			
The Investment Center	40,498	19.2	30,646	11.9	7,750	46.4	2,102	61.7
Summit Brokerage Services	38,500	28.3	32,000	25.5	5,000	42.9	1,500	50.0
PlanMember Securities	36,365	21.2	12,465	27.2	23,900	18.3		
Independent Financial Group	32,195	37.7	24,390	36.8	4,884	38.0	2,921	45.8
Kovack Securities	26,340	70.9	19,055	126.6	7,285	4.1		
Wall Street Financial Group	25,411	16.2	18,157	6.2	6,133	28.6	1,121	
Harbour Investments	25,240	2.9	22,745	(0.9)	2,495	58.9		
Sterne Agee Financial Services	19,800		16,000		3,800			
Pension Planners Securities	19,735	27.9	17,972	30.0	1,763	10.2		
ePLANNING Securities	18,042	13.4	14,282	10.0	3,400	29.3	360	19.2
First Midwest Securities	16,269	27.3	15,867	29.2	402	(19.6)		
Medallion Investment Services	15,899	13.6	11,283	18.3	4,616	16.8		
Synergy Investment Group	15,584	46.3	11,723	32.8	2,428	33.0	1,433	
Ensemble Financial Services	15,505	22.3	8,043	14.6	7,462	31.8		
Broker Dealer Financial Services Corp.	13,663	16.8 <sup>1</sup>	13,000	24.3 <sup>1</sup>	548	28.9 <sup>1</sup>	115	71.6 <sup>1</sup>
Eagle One Investments	9,174	30.3	8,967	30.7	199	10.6	8	
Coordinated Capital Securities	7,628	24.7	5,615	25.5	1,412	31.2	601	5.8