

# Pershing Brokerage Accounts Operational & Service Fees



Fee Type	Fee Amount
<b>Account Transfers (ACAT)</b>	
Incoming	Free
Outgoing	\$95
<b>Annual Inactivity Fee</b>	
Standard Brokerage Account	\$50
Mutual Fund Only Account	\$50
<b>IRA Fees</b>	
Annual Maintenance Fee	\$35
Termination Fee	\$75
Special Product Annual Maintenance Fee	\$35
Special Product Transfer / Reregistration	\$50
<b>Product Fees -ProCash Plus</b>	
Annual Account Fee -Silver	Free <sup>1</sup>
Annual Account Fee -Gold	\$75
Annual Account Fee -Platinum	\$125
Annual Account Fee - Corporate Gold Account	\$150
Annual Account Fee - Corporate Platinum Account	\$195
Personal Checks	
Initial Order (40 checks)	Free
Reorders (150 checks)	\$10
Business Checks	
Initial Order (504 checks)	\$50
Reorders (504 checks)	\$40
Stop Payments	\$20
Returned Checks	\$30
Check Copies	\$ 2.50
ATM Transactions	Free <sup>2</sup>
Additional ProCash Services Available: BillSuite <sup>3</sup> & RewardSuite <sup>4,5,6,7</sup>	Varies According to Product
<b>Miscellaneous Fees</b>	
ACH Return Fee	\$30
Wire Transfer Fees (Fed-Funds Domestic)	\$25
Foreign Wire Transfer Fees (Fed-Funds Foreign)	\$35
Overnight Check/Package Mailing	\$15
Safekeeping Fee (per certificate, per month)	\$2
Stop Payments	\$20
Cost Basis Reporting <sup>8</sup>	\$12
Foreign Settlement	\$75
Foreign Transfers	\$50
Statement & Confirm Fees <sup>9</sup>	0.25
Historical Document Retrieval	Varies According to Product
<b>Transfer Fees</b>	
Legal Transfer -Certificates	\$60
Physical Certificate Transfer	\$70
DRS Transfer (Direct to Transfer Agent)	\$20
Accommodation Transfers	\$60
Voluntary Reorganizations	\$30
<b>Transaction Fees</b>	
Dividend Reinvestment	\$1
Commissions and Regulatory Transaction Fees	Varies According to Product
Postage & Handling <sup>10</sup>	\$7.50

<sup>1</sup> ProCash Plus Silver accounts require a fee for asset balances below \$5,000 per month; should the account asset balance fall below \$5,000 as of the second-to-last business day of the month, a \$2.00 fee will be applied on the last business day of the month.

<sup>2</sup> Individual banks may assess ATM usage fees.

<sup>3</sup> Clients leveraging BillsSuite must abide by the terms and conditions noted for such application when accessed via NetExchange Client.

<sup>4</sup> Not available with ProCash Plus Silver accounts.

<sup>5</sup> Clients leveraging RewardSuite must abide by the program's terms and conditions. For information, please visit [www.myrewardsuite.com](http://www.myrewardsuite.com)

<sup>6</sup> Please refer to the RewardSuite web site for information on eligible purchases. Visit [www.myrewardsuite.com](http://www.myrewardsuite.com).

<sup>7</sup> The RewardSuite fee will be debited on the billing date of your ProCash Plus account's annual fee. MasterCard® belongs to its respective owners.

<sup>8</sup> The Cost basis feature is automatically activated during the account opening process, this feature can be cancelled upon written request. This fee is waived for ProCash Plus -Gold, ProCash Plus -Platinum, and any account that is greater than \$ 25,000.

<sup>9</sup>Statement & Confirm Fee increases on Dec 1st \$ .50

<sup>10</sup> Does not apply to Systematic Reinvestments or Liquidations PIPS/SWIPS, FundVest and Elite programs

IRA Waiver -Accounts may qualify for a waiver of this fee, see your Summit Financial Advisor

4/26/2010