

FCCS Fee Schedule

Fee Type	Fee Amount
Account Transfers	
Outgoing	\$95
IRA, Education Savings Accounts & 403(b)(7) Fees	
As outlined in the First Clearing, LLC Custodial Agreement and Disclosure Statement (located in Forms), FCC as Custodian reserves the right to charge additional fees as deemed necessary.	
Annual Fee	<ul style="list-style-type: none"> • The annual fee is billed in September for the current calendar year. • The client will be given the opportunity to choose from several payment options such as debiting another brokerage account or paying by check. • The fee will automatically be deducted from the balance of the account if no action is taken by the payment due date indicated on the invoice. • An announcement will be posted on OpsMax News prior to the invoices being generated. • This annual account fee may be waived for certain accounts. See the Annual Fee Site (Infomax > Resources > Annual Fees) for more information.
Termination Fee	\$95 <ul style="list-style-type: none"> • When taking a total distribution, the termination fee is waived for 403 (b)(7), Traditional, Roth, SEP, and SIMPLE IRA accounts for clients over age 59 ½ or those terminating accounts due to death or disability. • For Education Savings Accounts, the termination fee is waived when taking a total distribution. • All trustee-to-trustee transfers are charged the termination fee regardless of age or circumstances.

Product Fees – Advantage Account	
Annual Account Fee – Basic and Standard Packages	determined by firm
Check Orders - Individual	
• Initial Order – Standard Wallet (40 checks)	Free
• Initial Order – Duplicate (150 checks)	\$20
• Reorders – Standard Wallet (150 checks)	\$9
• Reorders – Standard Duplicate (150 checks)	\$20
Check Orders – Corporate Checks (Standard)	
• Initial Order – Three-on-a-Page (300 checks)	\$58
• Reorders – Three-on-a-Page	\$43.50
Product Fees – Advantage Account	
Check Copies	3 free check copies per month \$15 each copy over 3
Check Research	\$5 each
ATM Transaction	No internal service fee
Insufficient Funds	\$20
Stop Payment Order - (check or ACH transaction).	\$25

(continued)

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Money Market Sweep Accounts	
Dividends paid on shares held in Evergreen money market mutual funds available, as a brokerage sweep will be reinvested net of an administration fee of up to 20 basis points (0.2%) charged by FCC. This fee is applicable to all products except Managed ERISA accounts. The Bank Deposit Sweep (BDS) is exempt from the 20 basis points.	
Research	
Research or Document Retrieval – Discretionary fee that may be charged for excessive, time consuming research requests that are not for legal or regulatory reasons.	\$15 per hour (1 hour minimum)
Transfer Fees	
Foreign Securities (order out)	\$250.00 per security (pass on fee)
Physical Certificate Issuance (shares re-registered and mailed to the client)	\$125
Rush Withdrawal Registration (3-5 business days)	\$200
Rejection of ineligible physical certificates presented for deposit	\$50
Replacement of Securities Lost by Customer	\$50 + out-of-pocket
Mutual Fund Fees	
Liquidation fee	Varies by firm
No Load Accommodation fee	\$25
Other Fees	
Deposited/Cashed Item Chargeback (All Products)	\$10 per item
Overnight Express Mail	\$15
Prepay Margin Fees – Trades	\$15 or margin interest (whichever is greater)

To access annual account fee information for current and prior years:

- Wisdom Navigation: Client Management > Fee Info
- SmartStation Navigation: Client Management > Fee Info